



A DOCPHOENIX

☐ TRNA \_\_\_\_\_  
Transmittal New Application

☐ SPEC \_\_\_\_\_  
Specification

☐ CLM \_\_\_\_\_  
Claims

☐ ABST \_\_\_\_\_  
Abstract

☐ DRW \_\_\_\_\_  
Drawings

☐ OATH \_\_\_\_\_  
Oath or Declaration

☐ ADS \_\_\_\_\_  
Application Data Sheet

☐ A... \_\_\_\_\_  
Amendment Including Elections

☐ A.PE \_\_\_\_\_  
Preliminary Amendment

☐ REM \_\_\_\_\_  
Applicant Remarks in Amendment

☐ IDS \_\_\_\_\_  
IDS Including 1449

☐ 371P \_\_\_\_\_  
PCT Papers in a 371P Application

☐ FOR \_\_\_\_\_  
Foreign Reference

☐ NPL \_\_\_\_\_  
Non-Patent Literature

☐ FRPR \_\_\_\_\_  
Foreign Priority Papers

☐ ARTIFACT \_\_\_\_\_  
Artifact

☐ LET. \_\_\_\_\_  
Misc. Incoming Letter

☐ IMIS \_\_\_\_\_  
Misc. Internal Document

☐ TRREISS \_\_\_\_\_  
Transmittal New Reissue Application

☐ PROTRANS \_\_\_\_\_  
Translation of Provisional in Nonprovisional

☐ BIB \_\_\_\_\_  
Bib Data Sheet

☐ WCLM \_\_\_\_\_  
Claim Worksheet

☐ WFEE \_\_\_\_\_  
Fee Worksheet

☐ APPENDIX \_\_\_\_\_  
Appendix

☐ COMPUTER \_\_\_\_\_  
Computer Program Listing

☐ SPEC NO \_\_\_\_\_  
Specification Not in English

☐ N417 \_\_\_\_\_  
Copy of EFS Receipt Acknowledgement

☐ CRFL \_\_\_\_\_  
Computer Readable Form Transfer Request Filed

☐ CRFS \_\_\_\_\_  
Computer Readable Form Statement

☐ SEQLIST \_\_\_\_\_  
Sequence Listing

☐ SIR. \_\_\_\_\_  
SIR Request

☐ AF/D \_\_\_\_\_  
Affidavit or Exhibit Received

☐ DIST \_\_\_\_\_  
Terminal Disclaimer Filed

☐ PET. \_\_\_\_\_  
Petition

☐ END JOB☐ DUPLEX

# FEE TRANSMITTAL for FY 2003

Effective 0110112003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 1344

## Complete if Known

Application Number	Not yet assigned
Filing Date	09/30/2003
First Named Inventor	Swider-Lyons
Examiner Name	Not yet assigned
Art Unit	Not yet assigned
Attorney Docket No.	84,527

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 50-0281  
Deposit Account Name: NAVAL RESEARCH LABORATORY

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	750
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ ) 750

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims below	Fee from	Fee Paid
53	-20- = 33	x 18	594
Independent Claims	- 3- =	x	
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	Reissue independent claims over original patent
1205 18	2205 9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 594

\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	John J. Karasek	Registration No. (Attorney/Agent)	36,182	Telephone	(202) 404-1552
Signature		Date	9/30/03		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

September 30, 2003

Navy Case No. 84,527

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Swider-Lyons et al

For: **ELECTROCHEMICAL CELLS FOR ENERGY HARVESTING**

NOTICE TO FILING WITHOUT OATH OR DECLARATION BY APPLICANT

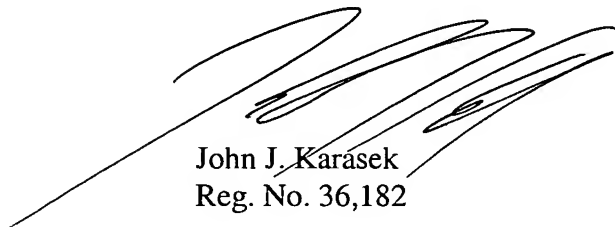
Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Please take notice that this application is being filed pursuant to 37 C.F.R. 153(d) without the oath or declaration of the Applicant. This is being done to secure an early filing date.

Upon the Notice from the Patent Office required by 1.53(d), Applicant will file the required oath or declaration, and pay the surcharge as set forth in 37 C.F.R. 1.16(e).

Respectfully submitted,



John J. Karasek  
Reg. No. 36,182